

SEP 13 2004
PATENT & TRADEMARK OFFICE

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Patent and Trademark Office: U.S. Department of Commerce

0000 PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number 10/019,086
		Filing Date April 9, 2002
		First Named Inventor Paul Zientek
		Group Art Unit 1756
		Examiner Name Martin J. Angebranndt
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Attorney Docket Number 322-00066
Total Number of pages in this Submission 5+		

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication To Technology Group
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> After final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board Of Appeals and Interferences
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Information Disclosure Statement/PTO-1449	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)
<input type="checkbox"/> Response to Missing Parts/ <input type="checkbox"/> Incomplete Application	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> RETURN RECEIPT POSTCARD
<input type="checkbox"/> Response to Missing Parts Under 37 1.52 or 1.53	<input type="checkbox"/> Request for Refund	<input checked="" type="checkbox"/> Request to Correct Inventorship <input checked="" type="checkbox"/> Statement <input checked="" type="checkbox"/> New Declaration <input checked="" type="checkbox"/> Consent of Assignee
<input type="checkbox"/> CD, Number of CD(s) _____		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	Daniel D. Fetterley ANDRUS, SCEALES, STARKE & SAWALL, LLP 100 East Wisconsin Avenue, Suite 1100, Milwaukee, WI 53202
Signature	<i>Daniel D. Fetterley</i>
Date	9/8/04

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Mail Stop - Office of Petitions - Fee, P.O. Box 1450, Alexandria, VA 22313-1450 on the <u>8th</u> day of September, 2004.			
Typed or printed name	Daniel D. Fetterley		
Signature	<i>Daniel D. Fetterley</i>	Date	9/8/04



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No. : 10/019,086
Applicant : Paul Zientek
Filed : April 9, 2002
Title : Method of Producing a Diffractive Structure in Security Documents

TC/A.U. : 1756
~~Examiner~~ : Martin J. Angebrannndt

Docket No. : 322-00066

REQUEST TO CORRECT INVENTORSHIP

Milwaukee, Wisconsin 53202
September 8, 2004

Commissioner for Patents
Mail Stop - Office of Petitions - Fee
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

International Application PCT/AU00/00726 as well as the present U.S. national stage application of that PCT application, names Paul Zientek as sole inventor.

This is a request to add Gary F. Power as an inventor in this application.

It is understood that inasmuch as this request is being submitted after acceptance of this application into the national stage in the United States, that the request to correct inventorship proceeds under 37 C.F.R. §1.48. However, the attached documents are also believed to be those required by 37 C.F.R. §1.497(d).

This request is being accompanied by the following documents/items.

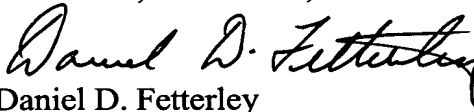
- A. A Statement from the inventor being added that the error in inventorship occurred without deceptive intention on his part.
- B. A Declaration signed by the co-inventors being named in this application.

- C. A written consent to the change in inventorship signed by the assignee of the originally named inventor.
- D. The processing fee set forth in 37 C.F.R. §1.17(i) in the amount of \$130.00. The Commissioner is hereby authorized to charge any additional fees in this application under 37 C.F.R. § 1.16 and 1.17 to Deposit Account No. 01.2000. An additional copy of this request is attached.

Favorable consideration of this request is respectfully requested.

Respectfully submitted,

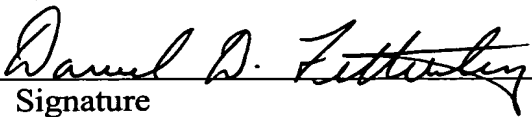
ANDRUS, SCEALES, STARKE & SAWALL, LLP

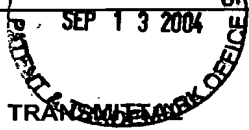

Daniel D. Fetterley
(Reg. No. 20,323)

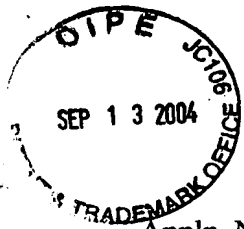
100 East Wisconsin Avenue, Suite 1100
Milwaukee, Wisconsin 53202
(414) 271-7590

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Daniel D. Fetterley	20,323
Name	Reg. No.
	9/8/04
Signature	Date

<div style="text-align: center;">  <p>PTO/SB/17 (8/96)</p> <p>FEE TRANSMITTAL</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> </div>		<div style="text-align: center;"> <p>COMPLETE IF KNOWN</p> </div> <table border="1" style="width:100%; border-collapse: collapse;"> <tr><td>Application Number</td><td>10/019,086</td></tr> <tr><td>Filing Date</td><td>April 9, 2002</td></tr> <tr><td>First Named Inventor</td><td>Paul Zientek</td></tr> <tr><td>Group Art Unit</td><td>1756</td></tr> <tr><td>Examiner Name</td><td>Martin J. Angebrannt</td></tr> <tr><td>Attorney Docket Number</td><td>322-00066</td></tr> </table>		Application Number	10/019,086	Filing Date	April 9, 2002	First Named Inventor	Paul Zientek	Group Art Unit	1756	Examiner Name	Martin J. Angebrannt	Attorney Docket Number	322-00066																																																																																																																																																																																		
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<p>METHOD OF PAYMENT (check one)</p> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number 01.2000</p> <p>Account Name Andrus, Scales, Starke & Sawall, LLP</p> <p><input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> The Commissioner is hereby authorized to charge the issue fee and any additional fees required under 37 C.F.R. 1.18 to the above Deposit Account.. A duplicate copy hereof is enclosed.</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>FEE CALCULATION (fees effective 10/01/96)</p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">1. FILING FEE</th> <th colspan="2">2. CLAIMS</th> <th colspan="2">SUBTOTAL (1) (\$)</th> </tr> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> <th>Extra</th> <th>Fee from below</th> </tr> </thead> <tbody> <tr><td>1001</td><td>770</td><td>2001</td><td>385</td><td>Utility filing fee</td><td></td></tr> <tr><td>1002</td><td>340</td><td>2002</td><td>170</td><td>Design filing fee</td><td></td></tr> <tr><td>1003</td><td>530</td><td>2003</td><td>265</td><td>Plant filing fee</td><td></td></tr> <tr><td>1004</td><td>770</td><td>2004</td><td>385</td><td>Reissue filing fee</td><td></td></tr> <tr><td>1005</td><td>160</td><td>2005</td><td>80</td><td>Provisional filing fee</td><td></td></tr> <tr><td colspan="6">SUBTOTAL (1) (\$)</td></tr> <tr><td colspan="6">Total claims 45 - 56 = X</td></tr> <tr><td colspan="6">Independent Claims 3 - 10 = X</td></tr> <tr><td colspan="6">Multiple Dependent Claims X</td></tr> <tr><td colspan="6">Large Entity Fee Code</td></tr> <tr><td colspan="6">Small Entity Fee Code</td></tr> <tr><td colspan="6">Fee Description</td></tr> <tr><td colspan="6">Fee Paid</td></tr> <tr><td colspan="6">1202 18 2202 9 Claims in excess of 20</td></tr> <tr><td colspan="6">1201 86 2001 43 Independent claims in excess of 3</td></tr> <tr><td colspan="6">1203 290 2003 145 Multiple dependent claim</td></tr> <tr><td colspan="6">1204 86 2004 43 Reissue independent claims over original patent</td></tr> <tr><td colspan="6">1205 18 2205 9 Reissue claims in excess of 20 and over original patent</td></tr> <tr><td colspan="6">SUBTOTAL (2) (\$)</td></tr> </tbody> </table>		1. FILING FEE		2. CLAIMS		SUBTOTAL (1) (\$)		Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	Extra	Fee from below	1001	770	2001	385	Utility filing fee		1002	340	2002	170	Design filing fee		1003	530	2003	265	Plant filing fee		1004	770	2004	385	Reissue filing fee		1005	160	2005	80	Provisional filing fee		SUBTOTAL (1) (\$)						Total claims 45 - 56 = X						Independent Claims 3 - 10 = X						Multiple Dependent Claims X						Large Entity Fee Code						Small Entity Fee Code						Fee Description						Fee Paid						1202 18 2202 9 Claims in excess of 20						1201 86 2001 43 Independent claims in excess of 3						1203 290 2003 145 Multiple dependent claim						1204 86 2004 43 Reissue independent claims over original patent						1205 18 2205 9 Reissue claims in excess of 20 and over original patent						SUBTOTAL (2) (\$)																																																																					
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<p>SUBMITTED BY</p> <p>Name (Print/Type) Daniel D. Fetterley</p> <p>Signature <i>Daniel D. Fetterley</i></p>		<p>Registration No. 20,323</p> <p>Telephone (414) 271-7590</p> <p>Date 9/8/04</p>																																																																																																																																																																																															



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Appln. No. : 10/019,086
Applicant : Paul Zientek
Filed : April 9, 2002
Title : Method of Producing a Diffractive Structure in Security Documents

TC/A.U. : 1756
Examiner : Martin J. Angebrannt

Docket No. : 322-00066


STATEMENT

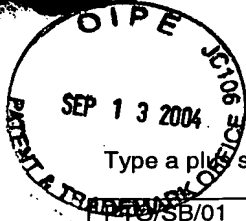
Commissioner for Patents
Mail Stop -
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

GARY F. POWER who is being added as an inventor in this application, hereby states that the error in the original designation of inventorship in this application occurred without deceptive intention on his part.

11/8/04
Date


Gary Fairless Power
46 Drummond Street
Greenvale, Victoria 3059, Australia



Type a plus sign (+) inside this box [+].

Approved for use through 9/30/00
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

APP/SB/01 (8/96)	DECLARATION Declaration OR Declaration <input type="checkbox"/> Submitted with <input checked="" type="checkbox"/> Submitted after Initial Filing Initial Filing	Attorney Docket Number	322-00066
		First Named Inventor	Paul Zientek
		COMPLETE IF KNOWN	
		Application Number	10/019,086
		Filing Date	April 9, 2002
		Group Art Unit	1756
		Examiner Name	Martin J. Angebrannt

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD OF PRODUCING A DIFFRACTIVE STRUCTURE IN SECURITY DOCUMENTS

(Title of the Invention)

the specification of which
☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 06/27/2000 as United States Application Number or PCT

International Number PCT/AU00/00726 and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or §365(a) of any PCT international application which designed at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Copy Attached?	
				YES	NO
PQ 1259	Australia	06/28/1999	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional <input type="checkbox"/> Application numbers are listed on a supplemental priority sheet attached hereto.

Type a plus sign (+) inside this box [+]

Atty. Docket No. 322-00066

DECLARATION

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365© of any PCT international application designated the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States of PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
Daniel D. Fetterley	20,323	Joseph D. Kuborn	40,689
George H. Solveson	25,927	Jeffrey S. Sokol	35,686
Gary A. Essmann	29,376	Peter T. Holsen	54,180
Thomas M. Wozny	28,922	Aaron T. Olejniczak	54,853
Michael E. Taven	28,120	William L. Falk	27,709
Joseph J. Jochman	25,058		

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Direct all correspondence to: ☒ Customer Number: 26753 OR ☒ Correspondence address below

NAME Daniel D. Fetterley

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

Family Name or Surname

Paul

Zientek

Inventor's Signature

Date

13 August, 2004

RESIDENCE City

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State

Country

Australia

Citizenship

Australian

POST OFFICE ADDRESS

c/o Potter Street

City

Craigeburn, Victoria

State

Zip

3064

Country

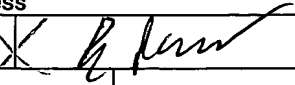
Australia

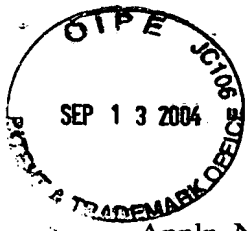
☒ Additional inventors are being named on supplemental sheet(s) attached hereto.

Please type a plus sign (+) inside this box [+]

Attorney Docket No. 322-00066

DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet
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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Gary Fairless				Power			
Inventor's Signature				Date	11 August, 2004		
RESIDENCE: City	Greenvale, Victoria	State		Country	Australia	Citizenship	United Kingdom
POST OFFICE ADDRESS		46 Drummond Street					
City	Greenvale, Victoria	State		Zip	3059	Country	Australia



(3)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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CONSENT OF ASSIGNEE

Commissioner for Patents
Mail Stop -
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

SECURENCY PTY. LTD. is the assignor named in an assignment by Paul Zientek, named as an inventor in this application. This assignment was recorded in the USPTO on June 6, 2002 at Reel 12976, Frames 320 et seq.

SECURENCY PTY. LTD. hereby consents to the addition of Gary F. Power as an inventor in the above identified application.

SECURENCY PTY. LTD.

19 August, 2004
Date

D.J. Ellery
Name: D.J. ELLERY
Title: COMPANY SECRETARY